6-20-02



2/932

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

In re application of:

G. Glenn Henry

Thomas C. McDonald

Serial No.:

09/849822

Filed:

5/4/2001

Docket:

CNTR.2050

For:

BTAC.BASED SPECULATIVE RETURN STACK

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- Attached hereto is Form PTO-1449 listing documents believed relevant to the subject application. It is respectfully requested that the Examiner review the information disclosed herein in detail, independently evaluate each item carefully in the consideration of the pending claims and return an initialed copy of each form to the undersigned.
- This disclosure statement should not be construed as a representation that a search has been made, that no other material information as defined in 37 C.F.R. § 1.56(a) exists, or as an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b) or is available as a reference under 35 U.S.C. § 102 et seq. Applicant reserves the right to swear behind or otherwise disprove the allege "prior" nature of any art cited should the facts support and the situation warrant such an action.
- It is believed that this disclosure complies with the requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98, and the Manual of Patent Examining Procedures § 609. If for some reason the examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

A copy of each document is enclosed. Some of the documents may have markings thereon. No significance is intended to be attached to the markings.

Respectfully subported

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Date: 6-16-02

"EXPRESS MAIL" mailing label number 100014381 US Date of Deposit 18 00 . I hereby certify that this paper is being deposited with the U.S. Postal Service Express Mail Post Office to Addressee Service under 37 C.F.R. §1.10 on the date shown above and is addressed to the U.S. Commissioner of Patents and Trademarks, Washington, P.C. 20231.

By: Dunda Cousto